

PATENTS
CF-40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Gilbert et al.
Application No. : 09/981,565 Confirmation No. : 7706
Filed : October 17, 2001
For : SYSTEMS AND METHODS FOR BID/OFFER
SPREAD TRADING
Group Art Unit : 2164

New York, New York 10020
April 4, 2003

Box DAC
Hon. Commissioner for Patents
Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c)
OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicants hereby notify the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

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The earliest such filing occurred on March 21, 2002, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202
on April 4, 2003


Claire J. Saintil-van Goodman

Name of Person Signing Certificate

Signature of Person Signing Certificate

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Respectfully submitted,



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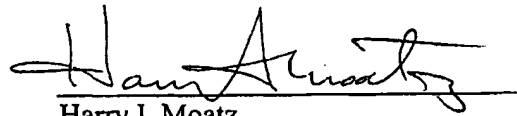
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

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Expires: December 6, 2003


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